



NTNU

Norwegian University of Science and Technology

Extensions of Quantum Harmonic Analysis and Applications to Time-Frequency Analysis

PhD Defense

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Opponents: Elena Cordero and Bruno Torresani

Extensions of

Quantum harmonic analysis

$$\alpha_z(S) = \pi(z)S\pi(z)^*$$

Operator convolutions

Operator Fourier transform

Weyl quantization

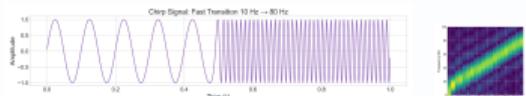
Short-time Fourier transform

Localization operators

Discretization

... and applications to

Time-frequency analysis



dealt with in papers:



A



B



C



D



E



F



G

First, some basics

Outline

Time-frequency analysis

Quantum harmonic analysis

Papers

Outline

Time-frequency analysis

Quantum harmonic analysis

Papers

Problem: Fourier transform is insufficient

Our starting point is the **Fourier transform**

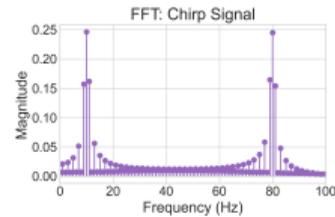
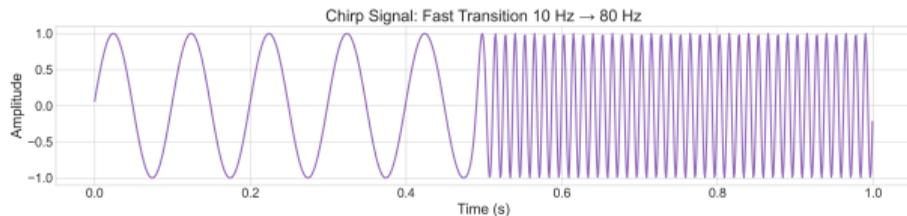
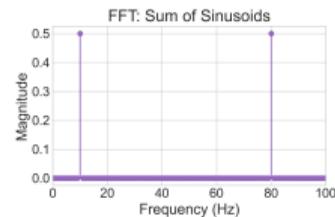
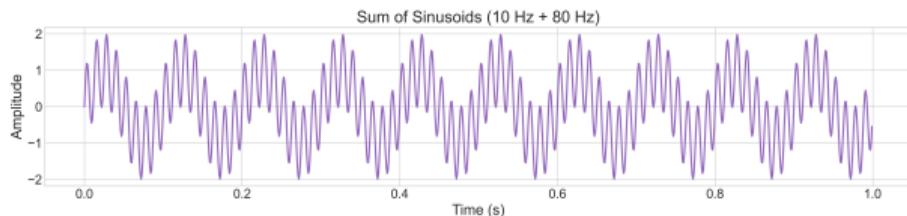
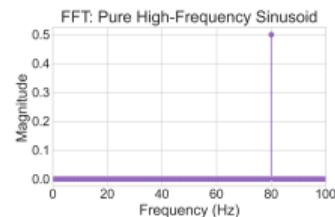
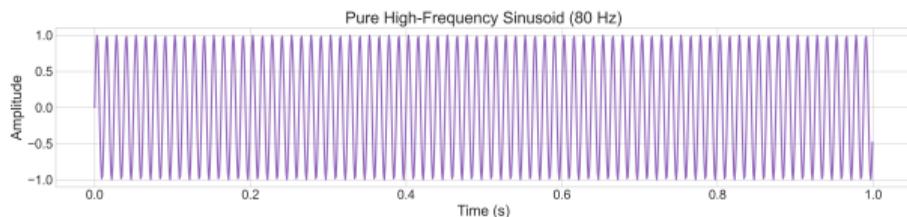
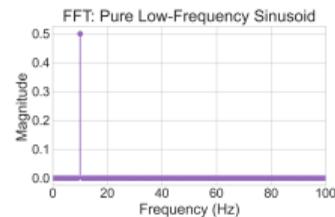
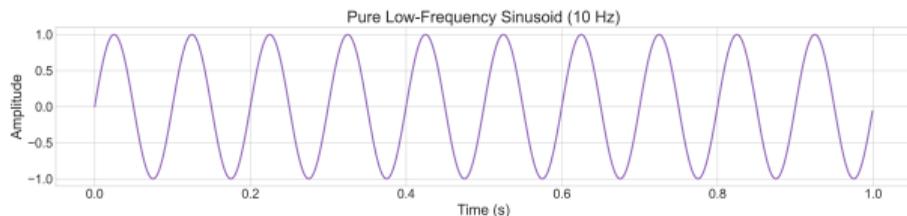
$$\mathcal{F}(f)(\omega) = \hat{f}(\omega) = \int_{\mathbb{R}} f(t)e^{-2\pi i t \omega} dt.$$

We care about signals where frequency varies over time, but

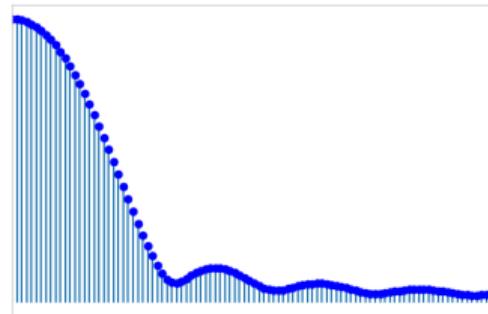
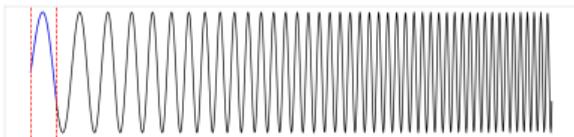
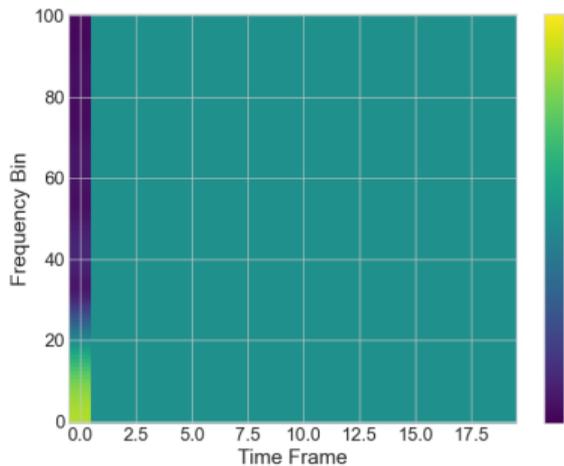
$$\mathcal{F}(f(\cdot - x))(\omega) = e^{2\pi i x \omega} \mathcal{F}(f)(\omega) \implies |\hat{f}(\omega)| = |\widehat{T_x f}(\omega)|,$$

i.e., the **spectrum** $|\hat{f}|$ is invariant under translations T_x .

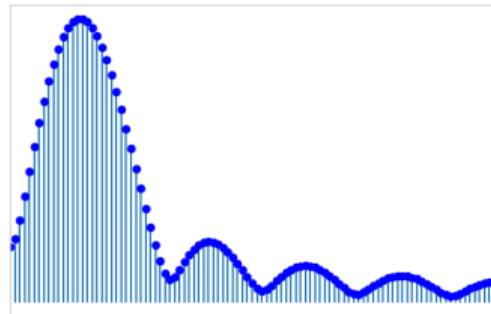
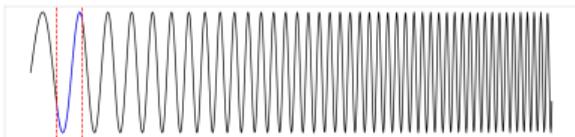
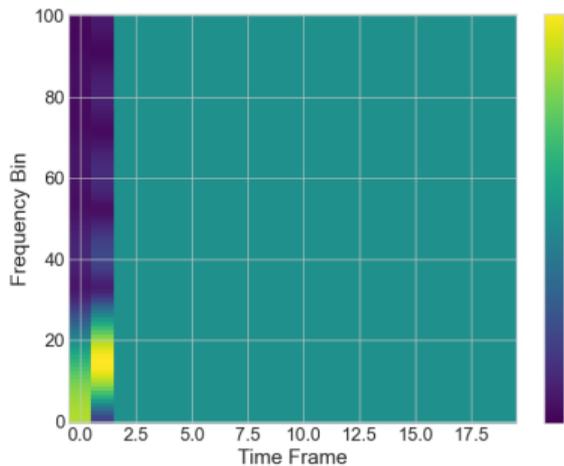
An illustrative example



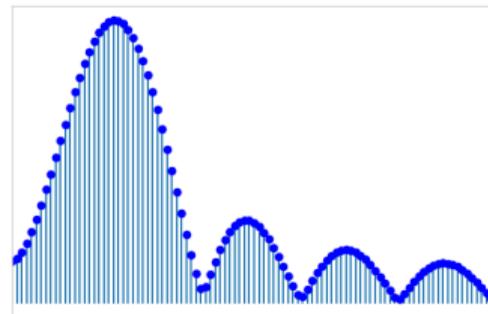
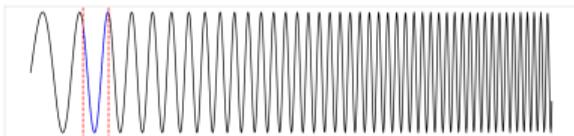
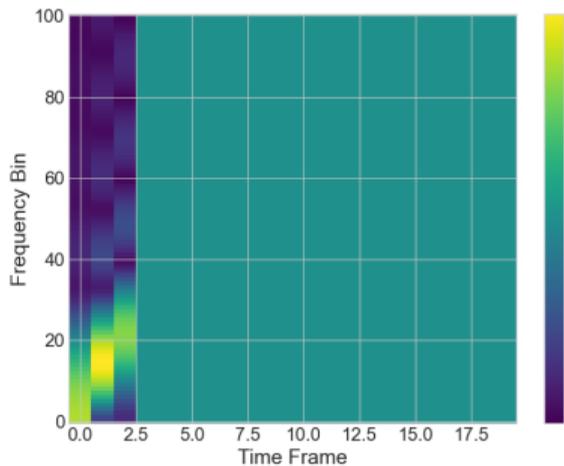
Solution: Joint time-frequency representation



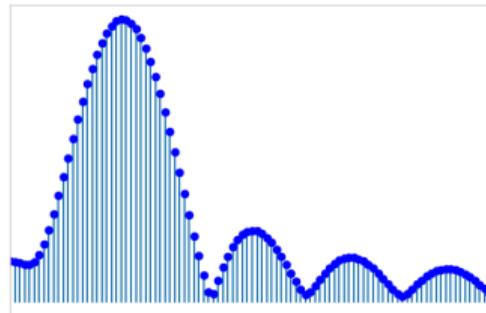
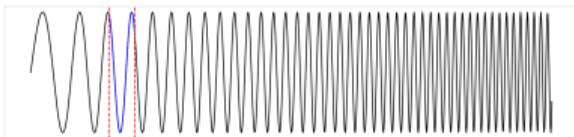
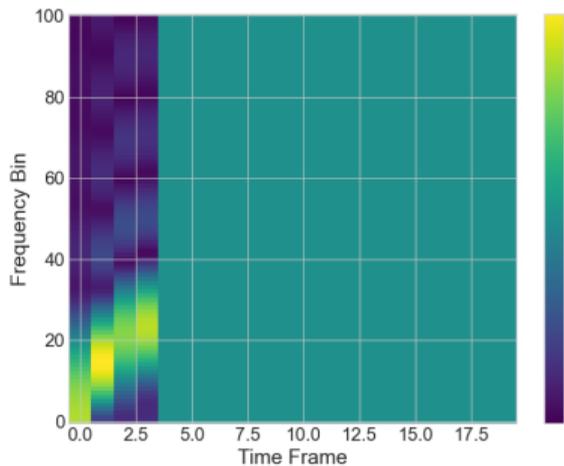
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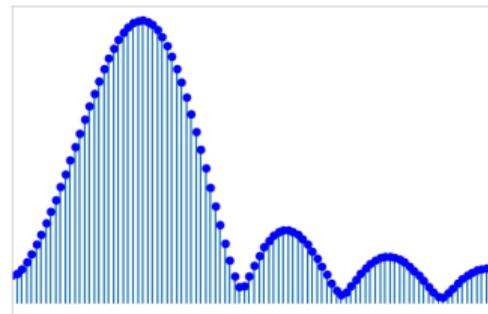
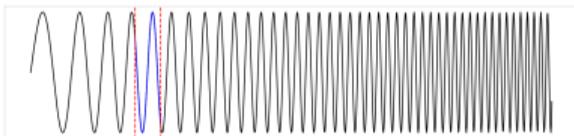
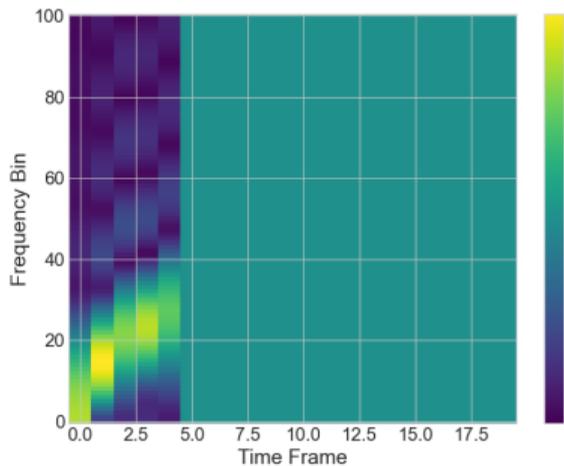
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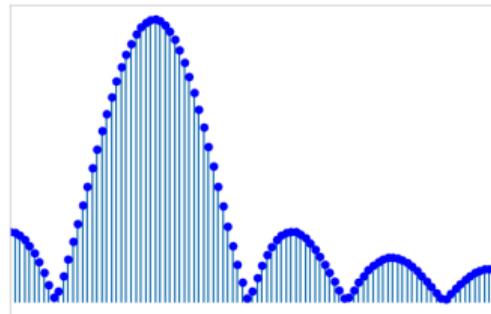
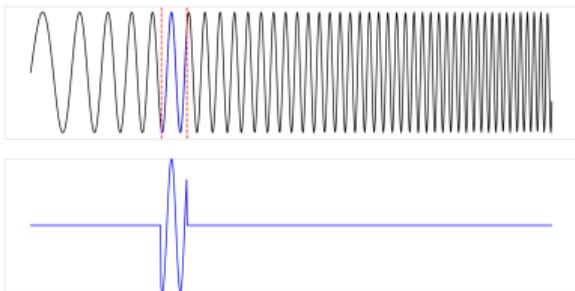
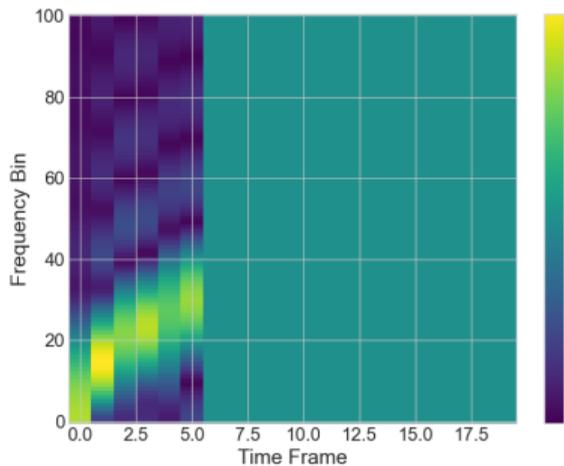
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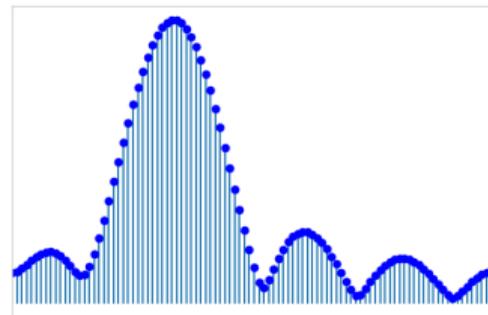
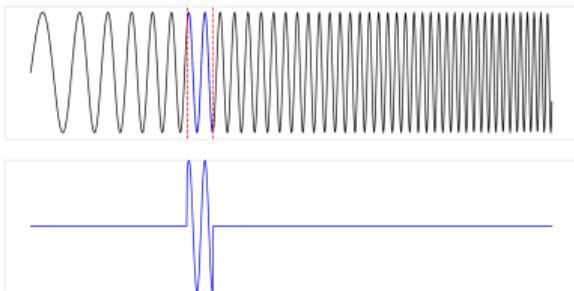
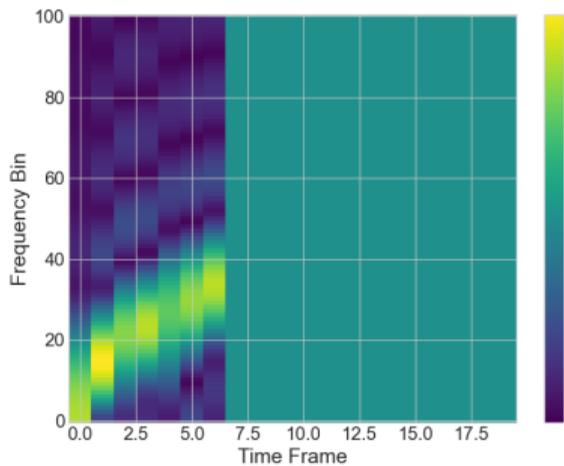
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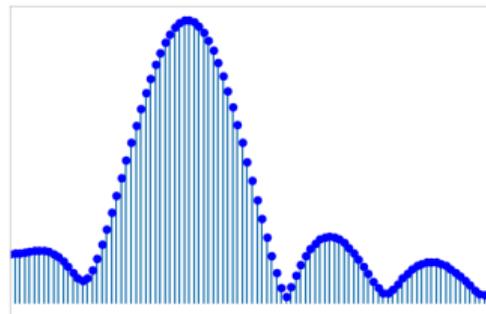
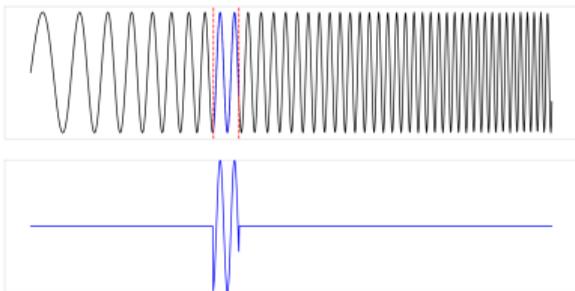
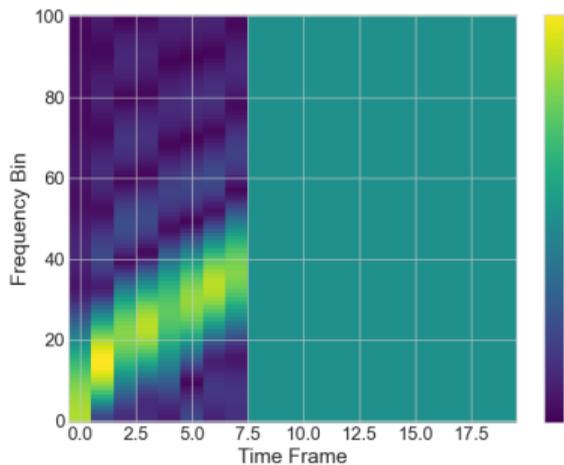
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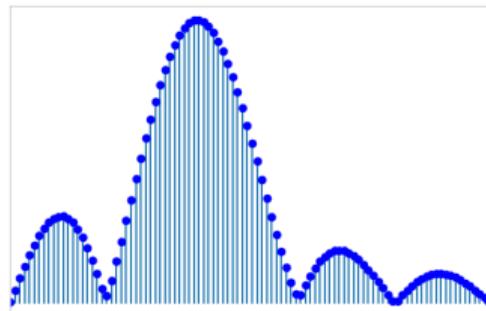
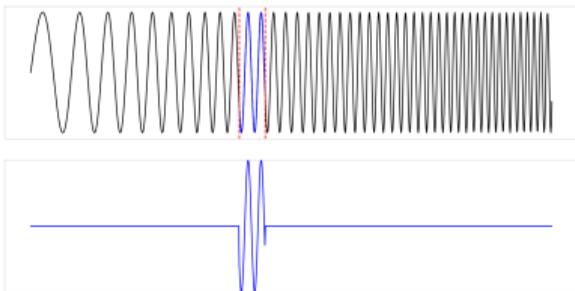
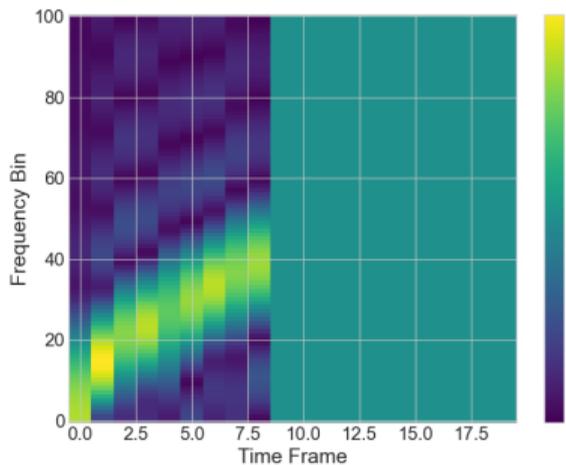
Solution: Joint time-frequency representation



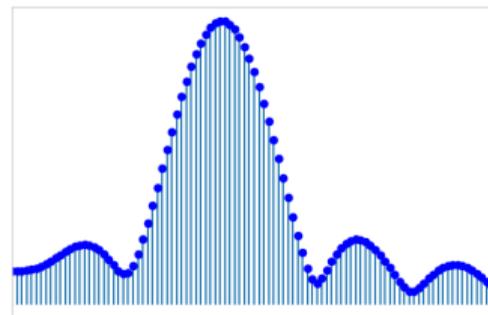
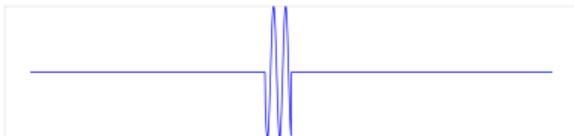
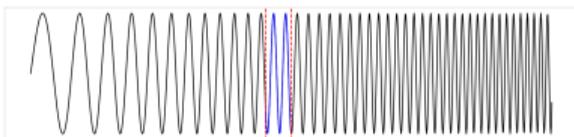
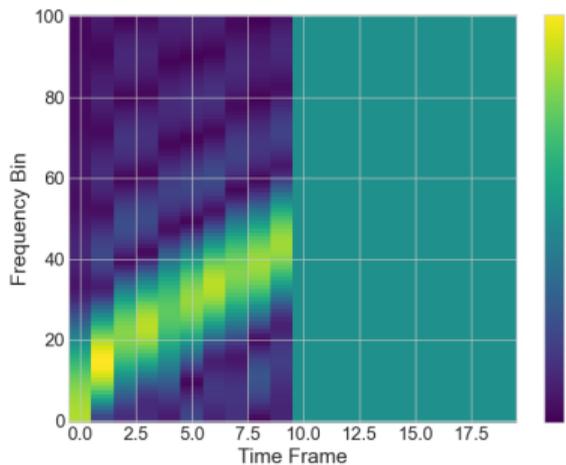
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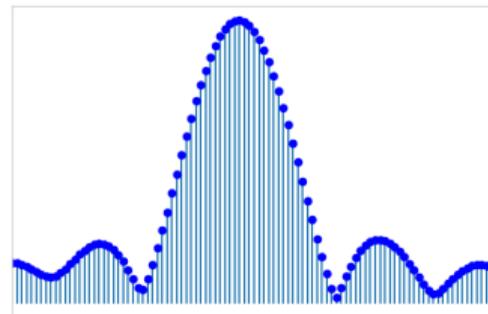
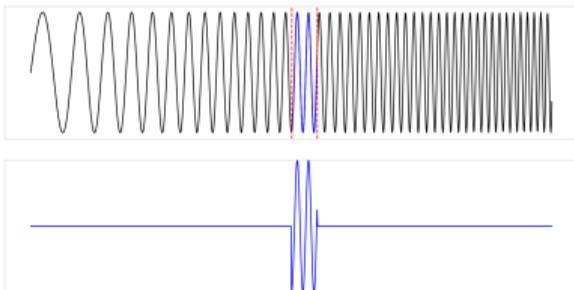
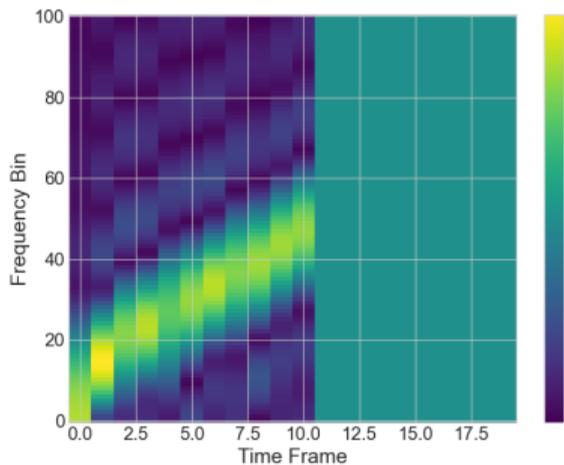
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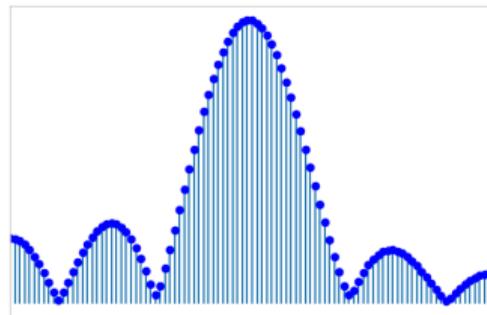
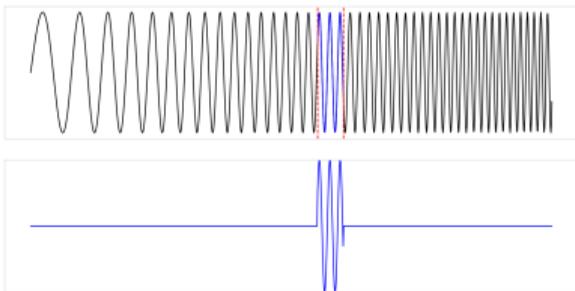
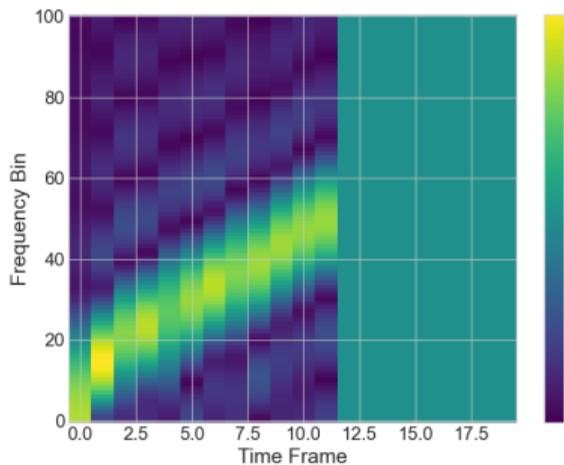
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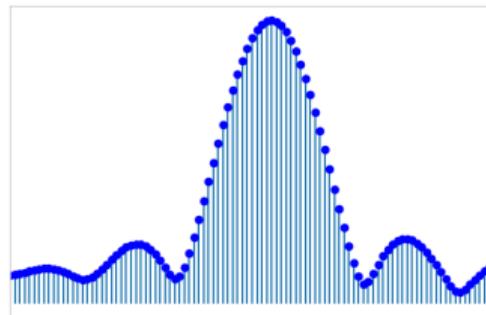
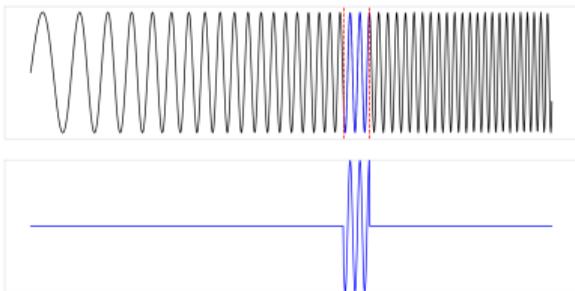
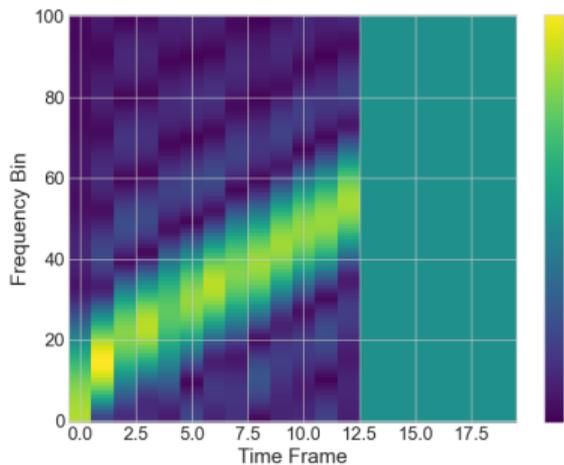
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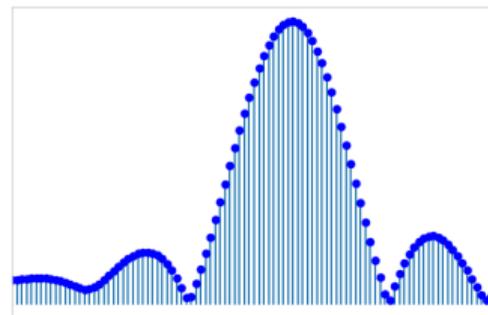
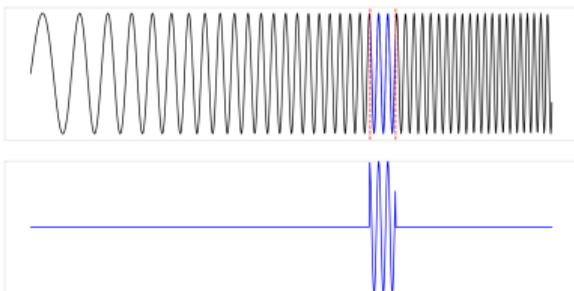
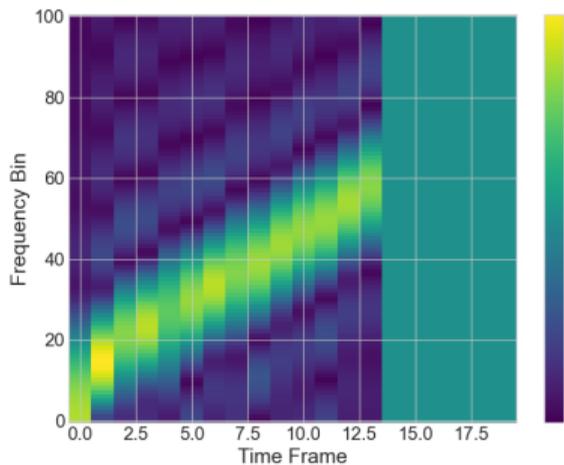
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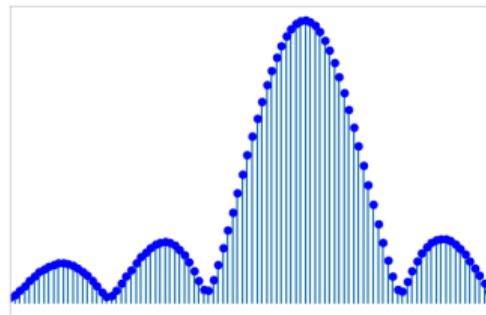
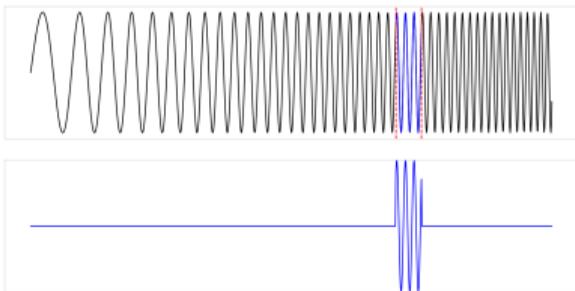
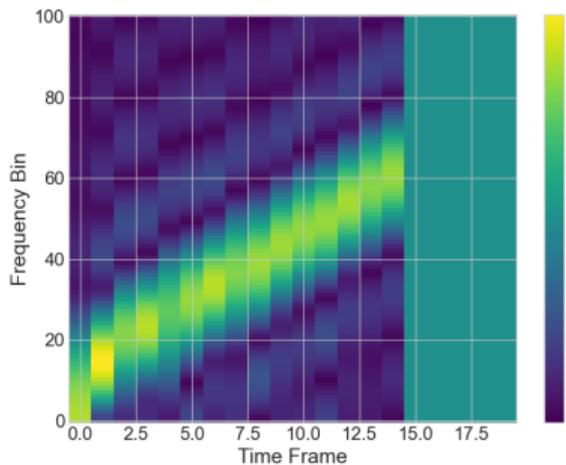
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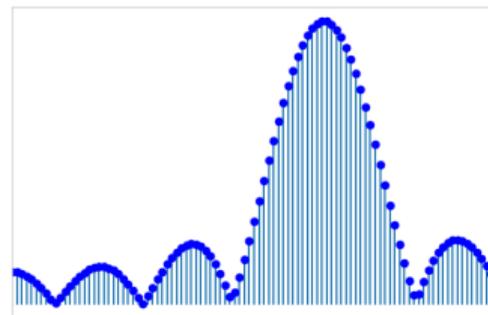
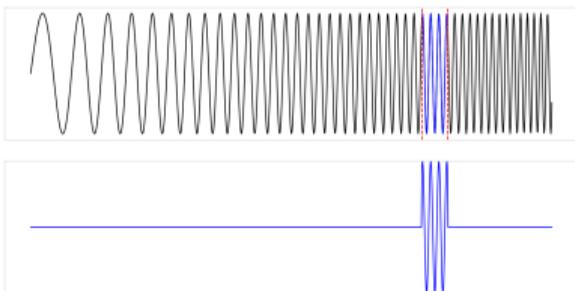
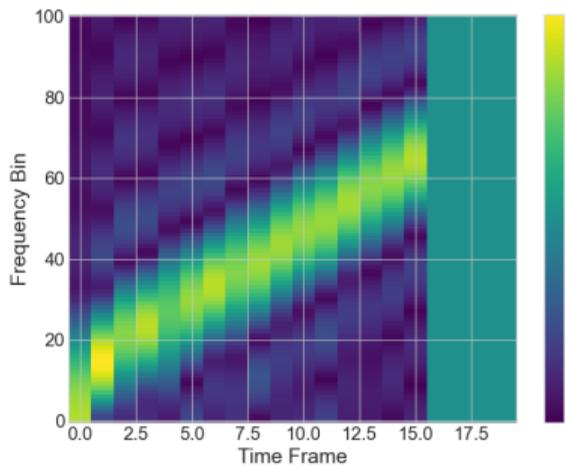
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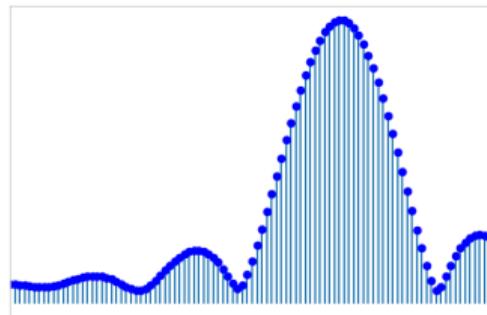
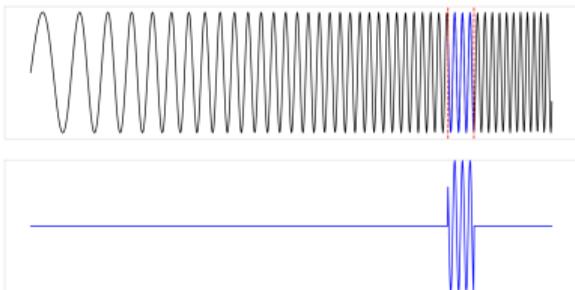
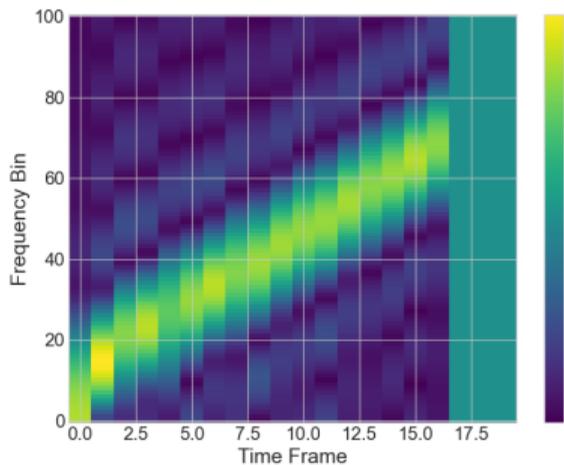
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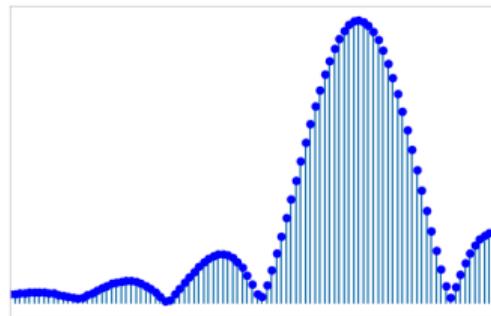
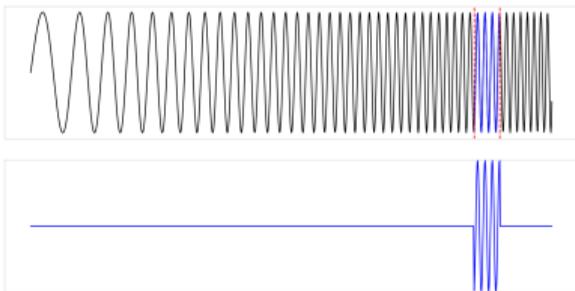
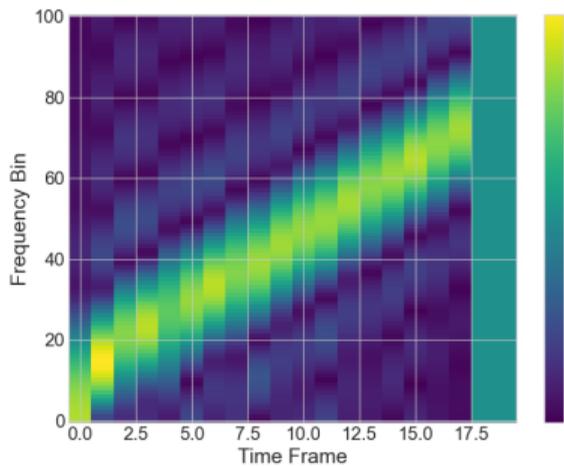
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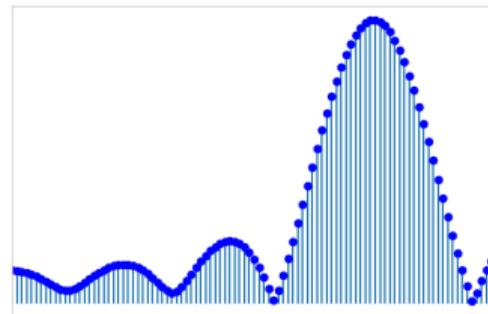
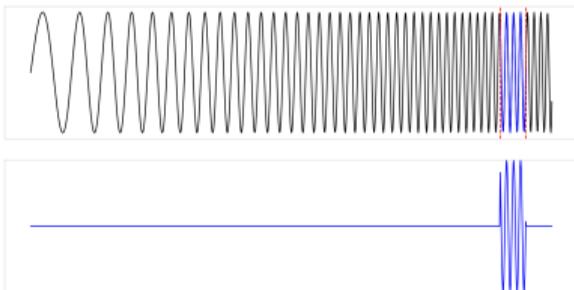
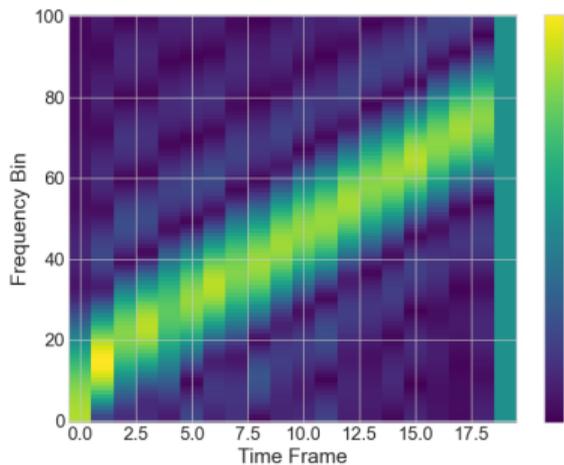
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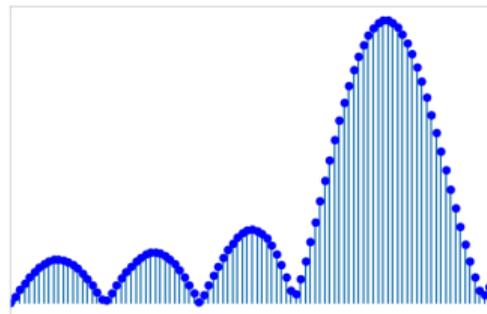
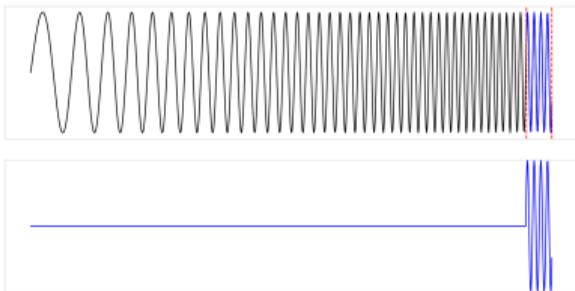
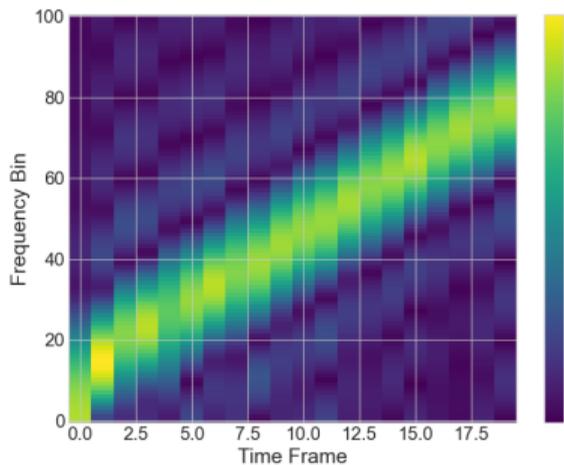
Solution: Joint time-frequency representation



Solution: Joint time-frequency representation



Solution: Joint time-frequency representation



Detailing the STFT

We call this the **short-time Fourier transform** (STFT)

$$V_g f(x, \omega) = \mathcal{F}(f(\cdot) \overline{g(\cdot - x)})(\omega) = \int_{\mathbb{R}} f(t) \overline{g(t - x)} e^{-2\pi i t \omega} dt.$$

$V_g : L^2(\mathbb{R}) \rightarrow L^2(\mathbb{R}^2)$ is a linear **time-frequency representation** and

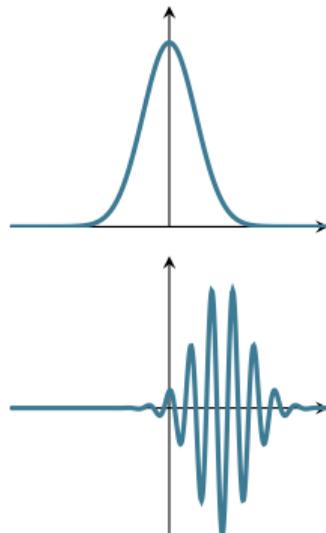
$$\langle V_g f_1, V_g f_2 \rangle_{L^2(\mathbb{R}^2)} = \langle f_1, f_2 \rangle_{L^2(\mathbb{R})}$$

when g is chosen appropriately.

Using

- ▶ Translation $T_x f(t) = f(t - x)$
- ▶ Modulation $M_\omega f(t) = e^{2\pi i \omega t} f(t)$
- ▶ Time-frequency shift $\pi(x, \omega) f = M_\omega T_x f$

we can write $V_g f(x, \omega) = \langle f, \pi(x, \omega) g \rangle_{L^2}$. We will write $z = (x, \omega) \in \mathbb{R}^2$ as a shorthand.



Reconstruction

The adjoint of the STFT mapping, $V_g^* : L^2(\mathbb{R}^2) \rightarrow L^2(\mathbb{R})$

$$V_g^* = \int_{\mathbb{R}^2} F(z) \pi(z) g \, dz,$$

is a right inverse of the STFT, but not a left inverse:

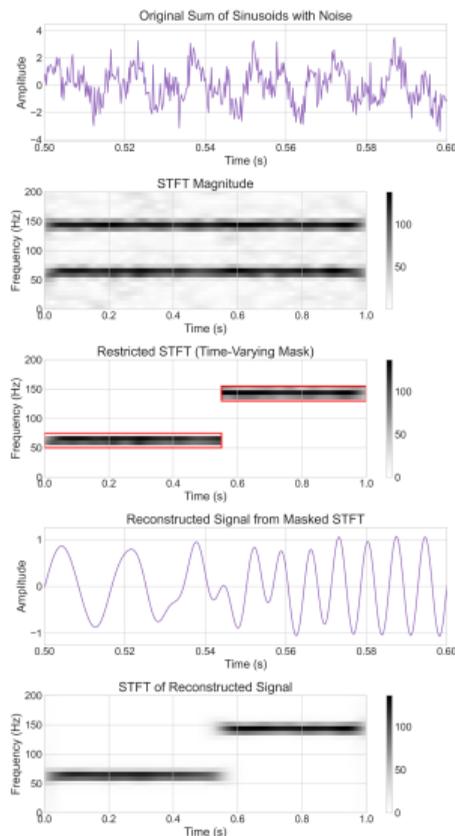
$$\begin{aligned} & \underbrace{V_g^* V_g = I_{L^2(\mathbb{R})},} & \underbrace{V_g V_g^* = P_{V_g(L^2(\mathbb{R}))}.}_{\text{orthogonal projection}} \\ \implies & \boxed{f = \int_{\mathbb{R}^2} V_g f(z) \pi(z) g \, dz} \end{aligned}$$

Not every $F \in L^2(\mathbb{R}^2)$ can be written as $F = V_g f$ for some $f \in L^2(\mathbb{R})$

Restricting the reconstruction

By multiplying $V_g f$ by a function $m : \mathbb{R}^2 \rightarrow \mathbb{C}$ prior to reconstruction, we get a **localization operator**:

$$A_m^g f = \int_{\mathbb{R}^2} m(z) V_g f(z) \pi(z) g dz$$

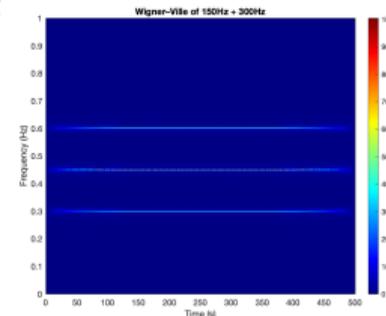
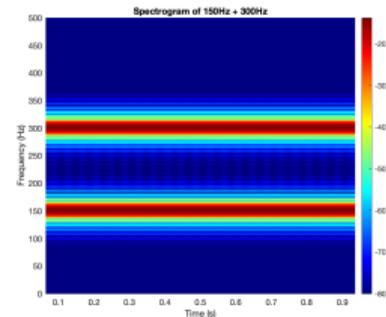


Time-frequency distributions

- ▶ Spectrogram, squared modulus of STFT $|V_g f|^2$
- ▶ Wigner distribution

$$W(f, g)(x, \omega) = \int_{\mathbb{R}} f(t - x/2) \overline{g(t + x/2)} e^{-2\pi i \omega t} dt$$

- ▶ Smoothed versions of the Wigner distributions (Cohen's class)



Gabor frames / discretization

We can only sample $V_g f$ at discrete points

- ▶ $\int_{\mathbb{R}^2} |V_g f(z)|^2 dz = \|f\|_{L^2}^2$ (continuous)
- ▶ $\sum_{\lambda \in \Lambda} |V_g f(\lambda)|^2 \sim \|f\|_{L^2}^2$ (discrete)

We say $\Lambda \subset \mathbb{R}^2$ induces a **Gabor frame** if

$$A\|f\|_{L^2}^2 \leq \sum_{\lambda \in \Lambda} |V_g f(\lambda)|^2 \leq B\|f\|_{L^2}^2,$$

for some $A, B > 0$.

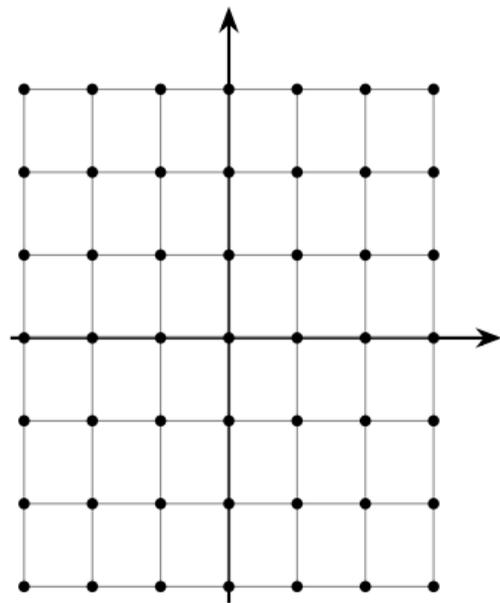


Figure: Example of a subset Λ of \mathbb{R}^2 which can be used for sampling.

Outline

Time-frequency analysis

Quantum harmonic analysis

Papers

Generalizing harmonic analysis to operators

In harmonic analysis we deal with functions f and their:

- ▶ Translations T_x
- ▶ Integrals \int
- ▶ Fourier transform \mathcal{F}
- ▶ Convolutions $*$
- ▶ L^p spaces

We want to set up similar notions for operators $S : L^2(\mathbb{R}) \rightarrow L^2(\mathbb{R})$:

- ▶ Translations $\alpha_z(S) = \pi(z)S\pi(z)^*$
- ▶ Traces $\text{tr}(S) = \sum_n \langle Se_n, e_n \rangle$
- ▶ Fourier transform \mathcal{F}_W
- ▶ Convolutions \star
- ▶ $\|S\|_{\mathcal{S}^p} = \text{tr}(|S|^p)^{1/p}$

Operator convolutions

QHA meta statement: Replace

- ▶ Functions \rightarrow Operators $g \rightarrow S$
- ▶ Translations \rightarrow Operator translations $T_z \rightarrow \alpha_z$
- ▶ Integrals \rightarrow Traces $\int \rightarrow \text{tr}$

$$f * g(z) = \int_{\mathbb{R}^{2d}} f(y) T_z \check{g}(y) dy$$

$$f \star S = \int_{\mathbb{R}^{2d}} f(z) \alpha_z(S) dz$$

(Function-operator convolution)

$$S \star T(z) = \text{tr}(S \alpha_z(\check{T}))$$

(Operator-operator convolution)

An operator Fourier transform

There is already a well-known Fourier transform for operators, the **Fourier-Wigner** transform

$$\mathcal{F}_W : \mathcal{S}^1 \rightarrow C_0(\mathbb{R}^2), \quad \mathcal{F}_W(S)(z) = \text{tr}(S\pi(-z)).$$



 Riemann-Lebesgue

For functions on \mathbb{R}^{2d} we will use the **symplectic** Fourier transform

$$\mathcal{F}_\sigma(f)(z) = \int_{\mathbb{R}^2} f(z') e^{-2\pi i \sigma(z, z')} dz'.$$

Standard properties hold

Harmonic analysis	Quantum harmonic analysis
$\ f * g\ _{L^p} \leq \ f\ _{L^1} \ g\ _{L^p}$	$\ f \star S\ _{S^p} \leq \ f\ _{L^1} \ S\ _{S^p}$ $\ T \star S\ _{L^p} \leq \ T\ _{S^1} \ S\ _{S^p}$
$(f * g) * h = f * (g * h)$	$f * (S \star T) = (f \star S) \star T$ $f \star (g \star T) = (f * g) \star T$
$\mathcal{F}(f * g) = \mathcal{F}(f) \cdot \mathcal{F}(g)$	$\mathcal{F}_W(f \star S) = \mathcal{F}_\sigma(f) \cdot \mathcal{F}_W(S)$ $\mathcal{F}_\sigma(T \star S) = \mathcal{F}_W(T) \cdot \mathcal{F}_W(S)$

Weyl quantization

Weyl quantization is a map from functions on phase space to operators on $L^2(\mathbb{R})$, $f \mapsto A_f$

$$\begin{array}{ccc}
 (\mathcal{S}^2(L^2(\mathbb{R})), \circ, *) & & \\
 \downarrow \mathcal{F}_W & \searrow a & \\
 (L^2(\mathbb{R}^2), \natural, \bar{\cdot}) & \xrightarrow{\mathcal{F}_\sigma} & (L^2(\mathbb{R}^2), \sharp, -)
 \end{array}$$

It is an isometric bijection from $L^2(\mathbb{R}^2)$ to $\mathcal{S}^2(L^2(\mathbb{R}))$.

Operator convolutions = convolutions of Weyl symbols:

$$A_{f \circ g} = f \star A_g, \quad A_f \star A_g = f \sharp \check{g}.$$

Outline

Time-frequency analysis

Quantum harmonic analysis

Papers

Paper A: Quantum harmonic analysis on locally compact groups

Published in Journal of Functional Analysis

Like in abstract harmonic analysis, we replace

$$\blacktriangleright \mathbb{R}^{2d} \longrightarrow \boxed{G}$$

$$\blacktriangleright L^2(\mathbb{R}^d) \longrightarrow \boxed{\mathcal{H}}$$

$$\blacktriangleright \pi : \mathbb{R}^{2d} \rightarrow \mathcal{U}(L^2(\mathbb{R}^d)) \longrightarrow \boxed{\sigma : G \rightarrow \mathcal{U}(\mathcal{H})}$$

Functions $f \in L^1(G)$, operators $S \in \mathcal{S}^1(\mathcal{H})$

In abstract time-frequency analysis, we deal with **admissibility** of wavelets. For us, $T \star S \in L^1(G)$ is dependent on

$$\mathcal{D}^{-1}S\mathcal{D}^{-1} \in \mathcal{S}^1 \iff S \text{ is an admissible operator.}$$



Paper B: Measure-operator convolutions and applications to mixed-state Gabor multipliers

Published in *Sampling Theory, Signal Processing, and Data Analysis*, joint work with Franz Luef and Hans Feichtinger

Function-operator convolution:

$$f \star S = \int_{\mathbb{R}^{2d}} f(z) \alpha_z(S) dz.$$

Perhaps **measure**-operator convolution is

$$\mu \star S = \int_{\mathbb{R}^{2d}} \alpha_z(S) d\mu(z)?$$

Goals:

- ▶ Motivate definition from first principles
- ▶ Use results to study Gabor multipliers which can be realized as measure-operator convolutions



Extending actions

- ▶ Standard convolutions can be defined by extending the action $\mathbb{R} \times L^1(\mathbb{R}) \ni (x, f) \mapsto T_x f$ to $M(\mathbb{R}) \times L^1(\mathbb{R})$
- ▶ We do the same for $\mathbb{R}^2 \times \mathcal{S}^1 \ni (z, S) \mapsto \alpha_z(S)$ to get a form of weighted translation

Theorem

The map $\bullet_\rho : \mathbb{R}^{2d} \times \mathcal{S}^1 \rightarrow \mathcal{S}^1, (z, S) \mapsto \pi(z)S\pi(z)^$ has a unique bounded essential extension to $M(\mathbb{R}^{2d}) \times \mathcal{S}^1 \rightarrow \mathcal{S}^1$. That extension satisfies*

$$\langle (\mu \star S)f, g \rangle = \int \langle \pi(z)S\pi(z)^* f, g \rangle d\mu(z).$$

Application: Approximating localization operators

The Gabor multiplier $G_{m,\alpha,\beta}^g$ associated to the lattice $\Lambda_{\alpha,\beta} = \alpha\mathbb{Z}^d \times \beta\mathbb{Z}^d$ can be written as

$$G_{m,\alpha,\beta}^g = \mu_{\alpha,\beta}^m \star (g \otimes g)$$

where $\mu_{\alpha,\beta}^m$ is a discrete measure.

Theorem

Let $(\mu_\alpha)_\alpha$ be a bounded and tight net which converges weak- \ast to μ_0 and $S \in S^1$. Then

$$\lim_{\alpha \rightarrow \infty} \|\mu_\alpha \star S - \mu_0 \star S\|_{S^1} = 0.$$

Theorem

Let $m \in W(L^\infty, \ell^1)(\mathbb{R}^{2d})$ be Riemann-integrable and $S \in S^1$. Then

$$\lim_{\alpha,\beta \rightarrow 0} \|\mu_{\alpha,\beta}^m \star S - m \star S\|_{S^1} = 0.$$

In particular, $\|G_{m,\alpha,\beta}^g - A_m^g\|_{S^1} \rightarrow 0$ as $\alpha, \beta \rightarrow 0$.

Paper C: Weyl Quantization of Exponential Lie Groups for Square Integrable Representations

Preprint, joint work with Stine Marie Berge

Goal:

Set up quantization beyond Weyl-Heisenberg and affine groups.

- ▶ Need connected exponential Lie group and square integrable representation
- ▶ Replace symplectic Fourier transform by *Fourier-Kirillov* transform

$$\begin{array}{ccc}
 (\mathcal{S}^2(\mathcal{H}), \circ, *) & & \\
 \downarrow \mathcal{F}_W & \searrow a & \\
 (\mathcal{F}_W(\mathcal{S}^2), \natural, \sqrt{\Delta(\cdot)}^\vee) & \xrightarrow{\mathcal{F}_{KO}} & (L_r^2(G), \sharp, -)
 \end{array}$$



Quantization properties

- ▶ **Translation and conjugation** are respected

$$\alpha_x(A_f) = A_{f(\cdot x^{-1})}, \quad A_f^* = A_{\bar{f}}.$$

- ▶ **The map** is a unitary H^* -algebra isomorphism

$$A : L_r^2(G) \rightarrow \mathcal{S}^2(\mathcal{H}).$$

- ▶ **Wigner distribution** can be realized as dequantization of rank-one operator

$$W(\psi, \phi)(x) = a_{\psi \otimes \phi}(x) = \mathcal{F}_{\text{KO}}(\mathcal{F}_W(\psi \otimes \phi))(x),$$

not the object which induces the quantization.

Paper D: Five ways to recover the symbol of a non-binary localization operator

Published in Journal of Pseudo-Differential Operators and Applications

Standard problem: Find Ω from information about A_{Ω}^g

- ▶ Previously studied by Abreu, Dörfler, Gröchenig, Romero, Luef, Skrettingland, Speckbacher
- ▶ Used eigenfunctions and image of white noise

Goal:

- ▶ Adapt old methods to work for A_m^g where $m \in L^1(\mathbb{R}^2)$
- ▶ Develop new methods
- ▶ Implement all methods in MATLAB

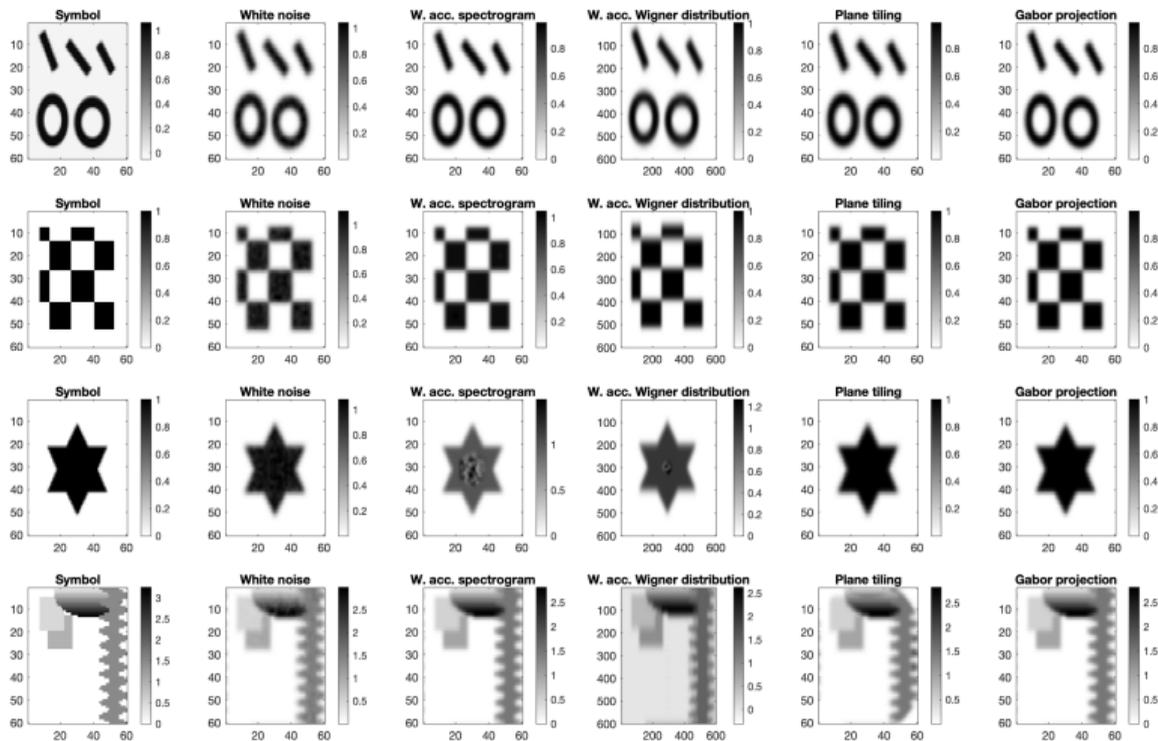


Formulations

$$A_m^g = \sum_k \lambda_k (h_k \otimes h_k)$$

- ▶ $\sum_k \lambda_k |V_g h_k(z)|^2 \leftarrow$ Weighted accumulated spectrogram
- ▶ $\sum_k \lambda_k W(h_k)(z) \leftarrow$ Weighted accumulated Wigner distribution
- ▶ $\frac{1}{K} \sum_{k=1}^K |V_g(A_m^g \mathcal{N})(z)|^2 \leftarrow$ White noise estimator
- ▶ $\sum_n |V_g(A_m^g e_n)(z)|^2 \leftarrow$ Plane tiling estimator
- ▶ $V_g(A_m^g(\pi(z)g))(z) \leftarrow$ Gabor projection

Examples



Paper E: On a time-frequency blurring operator with applications in data augmentation

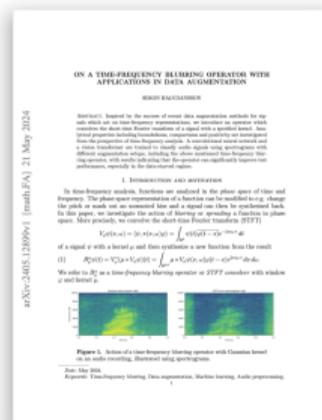
Published in Journal of Fourier Analysis and Applications

What if instead of multiplying the STFT (localization operator) we convolve it (blurring operator)?

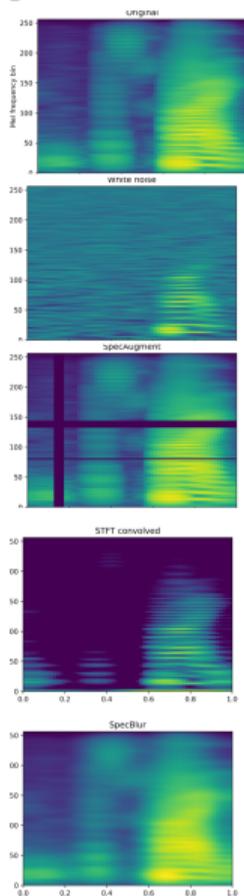
$$B_{\mu}^g f = V_g^*(\mu * V_g f)$$

Mathematically, we look at:

- ▶ Boundedness of operator between L^p , M^p and Schwartz spaces
- ▶ (Non)-compactness
- ▶ Positivity condition



Application



The operator shows promise as a **data augmentation** tool.

Table: Average ViT test accuracies with standard errors (%) for different augmentation setups.

Augmentation	Accuracy
None	89.17±0.20
White noise	90.72±0.09
SpecAugment	90.61±0.14
STFT-blur	90.40±0.15
SpecBlur	91.29±0.13
White noise + SpecAug	91.80±0.15
STFT-blur + SpecBlur	91.72±0.12
All	92.70±0.08

Paper F: On accumulated spectrograms for Gabor frames

Published in Journal of Mathematical Analysis and Applications

Classical result:

If $A_{\Omega}^g = \sum_k \lambda_k (h_k \otimes h_k)$, then

$$\left\| \sum_{k=1}^{[\lceil |\Omega| \rceil]} |V_g h_k|^2 - \chi_{\Omega} \right\|_{L^1} \leq C_g |\partial \Omega|.$$

↑
accumulated spectrogram

Goal:

Show corresponding results for the **Gabor multiplier** $G_{\Omega, \Lambda}^g$ associated to the lattice Λ .



Results

We only observe $\Omega \cap \Lambda$, consequently

- ▶ Errors are in $\ell^1(\Lambda)$ instead of $L^1(\mathbb{R}^{2d})$
- ▶ We measure the perimeter by $\partial_\Lambda^r \Omega = \Lambda \cap (\partial\Omega + B(0, r))$.

Theorem

Let $g \in M_\Lambda^*(\mathbb{R}^d)$ and Λ be such that (g, Λ) induces a frame with frame constants $A, B > 0, r > 0$ and $\Omega \subset \mathbb{R}^{2d}$ be compact. Then there exists a constant C depending only on r and d such that

$$\|\rho_\Omega - \chi_\Omega\|_{\ell^1(\Lambda)} \leq C_g \# \partial_\Lambda^{r_\Lambda} \Omega + 2 \frac{B-A}{B} \#(\Omega \cap \Lambda) + \frac{B}{\|g\|_{L^2}^2}$$

where $r_\Lambda = r + l_M$ and l_M is the diameter of the fundamental domain of Λ .

- ▶ $A = B \implies \|\rho_\Omega - \chi_\Omega\|_{\ell^1(\Lambda)} \leq C_g \# \partial_\Lambda^{r_\Lambda} \Omega + D$
- ▶ Estimate is tight:

$$C_1 \# \partial_\Lambda^{r_\Lambda} B(0, R) \leq \|\rho_{B(0,R)} - \chi_{B(0,R)}\|_{\ell^1(\Lambda)} \leq C_2 \# \partial_\Lambda^{r_\Lambda} B(0, R)$$

Rotationally-invariant symbol + conjecture

Theorem

Let $\Omega \subset \mathbb{R}^2$ be a **compact, regular closed and rotationally invariant set with a finite number of connected components**, and let $\lambda_k^{R\Omega}$ the k -th eigenvalue of $A_\Omega^{g_0}$. Then

$$\left| \lambda_k^{R\Omega} - \frac{1}{2} \operatorname{erfc} \left(\sqrt{2\pi} \frac{k - |R\Omega|}{|\partial R\Omega|} \right) \right| = O \left(\frac{1}{R} \right).$$

Conjecture

Let $\Omega \subset \mathbb{R}^2$ be **compact and regular closed**, and let λ_k^Ω be the k -th eigenvalue of $A_\Omega^{g_0}$. Then

$$\left| \lambda_k^{R\Omega} - \frac{1}{2} \operatorname{erfc} \left(\sqrt{2\pi} \frac{k - |R\Omega|}{|\partial R\Omega|} \right) \right| = O \left(\frac{1}{R} \right).$$

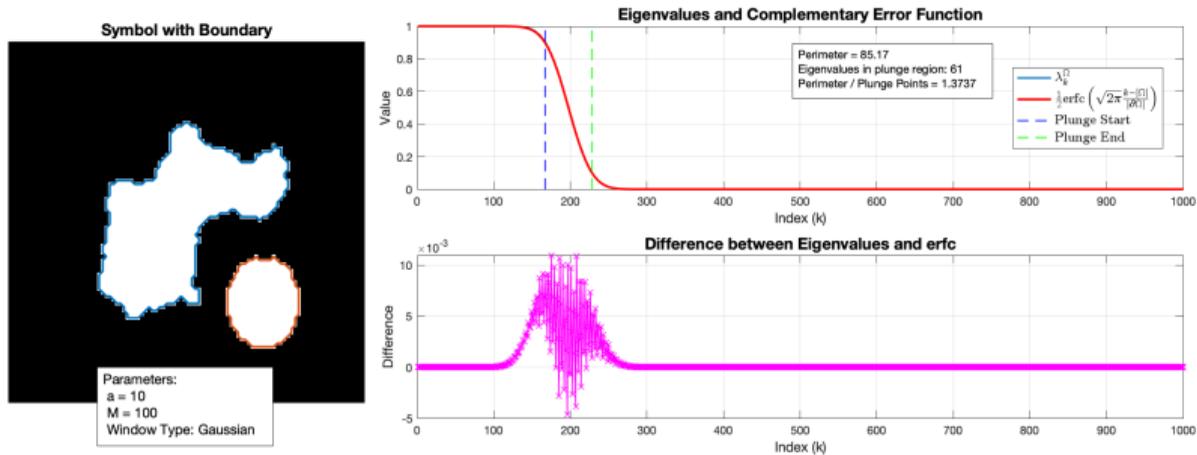


Figure: Symbol, eigenvalues and discrepancy to erfc

Thank you!